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DOCKET NO. SOM9-2000-0012-US1

Assistant Commissioner for Patents Washington, D. C. 20231

Transmitted herewith for filing is the patent application of:

INVENTORS: Jeffrey B. LOTSPIECH, Stefan NUSSER and Paul R. RETTIG

TITLE: SYSTEM AND METHOD FOR SECURE AUTHENTICATION OF EXTERNAL SOFTWARE MODULES PROVIDED BY THIRD PARTIES

In connection with this application, the following are enclosed:

- 20 Pages of Specification, Claims and Abstract
  - 27 Claims
  - 4 Sheets of Drawings (FIGS. 1-4)
  - XX Declaration, Power of Attorney
- XX Assignment to: International Business Machines Corporation

The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Numbe	r Filed	Number Extra	Rate Large (Smail)	Basic Fee \$690 (\$345):
Total Claims	27	· 20	7	\$18 (\$9)	126
Independent Claims	4	3	1	\$78 (\$39)	78
Multiple Dependent Claims				\$270 (\$135)	0
Assignment Recording Fee				\$40	40
				TOTAL FEE:	\$ 934

- XX The Commissioner is hereby authorized to charge Deposit Account No. <u>09-0459</u> in the amount of <u>\$934</u>. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. <u>09-0459</u>.

SEND CORRESPONDENCE TO:

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1

ose Gutman Reg. No. 35,17

## SYSTEM AND METHOD FOR SECURE AUTHENTICATION OF EXTERNAL SOFTWARE MODULES PROVIDED BY THIRD PARTIES

(Provided for Examination Reference Purposes)

PARTIAL WAIVER OF COPYRIGHT	1
CROSS-REFERENCE TO RELATED APPLICATIONS	1
BACKGROUND OF THE INVENTION  1. Field of the Invention  2. The Prior Art	1
SUMMARY OF THE INVENTION	4
BRIEF DESCRIPTION OF THE FIGURES	5
DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS  Exemplary Embodiment  High Level Overview  Alternative Embodiments  Conclusion  Discussion of Hardware and Software Implementation Options	6 11 11
CLAIMS	14
ABSTRACT	20

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Atty Docket: SOM9-2000-0012-US1

Jeffrey B. LOTSPIECH et al.

APPLICATIONS BRANCH

Serial No. (not yet assigned)

Filed: HEREWITH

FOR: SYSTEM AND METHOD FOR SECURE AUTHENTICATION OF EXTERNAL SOFTWARE MODULES PROVIDED BY THIRD PARTIES

## CERTIFICATE OF EXPRESS MAIL MAILING

"Express Mail" Mailing Label No. EL563155585US
Date of Deposit: September 8, 2000

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

I hereby certify that

X Application Transmittal

X Specification, Claims, Abstract

X 1 set of 4 sheets of drawings

X Declaration and Power of Attorney

X Assignment

X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

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